

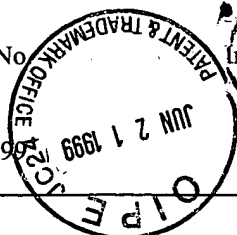
U.S. Application No.

International Application No.  
PCT/AU97/00874

Attorney Docket No.

CULLN23.001APC

Date: June 21, 1999



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09/331631  
Box 5EQ  
Page 1

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/AU97/00874  
International Filing Date: December 22, 1997  
Priority Date Claimed: December 20, 1996  
Title of Invention: ANTIMICROBIAL PROTEINS  
Applicant(s) for DO/EO/US: John Michael Manners; John Paul Marcus; Kenneth Clifford Goulter;  
Jodie Lyn Green; Neil Ivan Bower

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. () This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. (X) This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. (X) A copy of the International Application as filed (35 USC 371(c)(2))
  - a) () is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) (X) has been transmitted by the International Bureau.
  - c) () is not required, as the application was filed in the United States Receiving Office (RO/US).
6. () A translation of the International Application into English (35 USC 371(c)(2)).
7. (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a) () are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) () have been transmitted by the International Bureau.
  - c) () have not been made; however, the time limit for making such amendments has NOT expired.
  - d) (X) have not been made and will not be made.
8. () A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. (X) An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. (X) A copy of the International Preliminary Examination Report with any annexes thereto, such as any amendments made under PCT Article 34.
11. () A translation of the annexes, such as any amendments made under PCT Article 34, to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Date: June 21, 1999

Page 2

**Items 11. to 16. below concern other document(s) or information included:**

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or address letter.
17. ☒ International Application as published.
18. ☐ Small Entity Statement.
19. ☒ Sequence listing - pages 34-58.
20. ☒ A diskette containing sequence listing. I hereby certify that the data on the enclosed disk is identical to the sequence listing in the application filed herewith, as required by 37 C.F.R. §1.821(f).
21. ☒ PCT request form.
22. ☒ A return prepaid postcard.
23. ☒ The following fees are submitted:

				FEES
<b>BASIC FEE</b>				\$970
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total Claims	41 - 20 =	21 ×	\$18	\$378
Independent Claims	4 - 3 =	1 ×	\$78	\$78
Multiple dependent claims(s) (if applicable)			\$260	\$0
<b>TOTAL NATIONAL FEE</b>				\$1426
<b>TOTAL FEES ENCLOSED</b>				\$1426

24. ☒ A check in the amount of \$1426 to cover the above fees is enclosed.
25. ☒ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.

Date: June 21, 1999

Page 3

26. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Sixteenth Floor  
Newport Beach, CA 92660



Signature

Daniel E. Altman

Printed Name

34,115

Registration Number

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

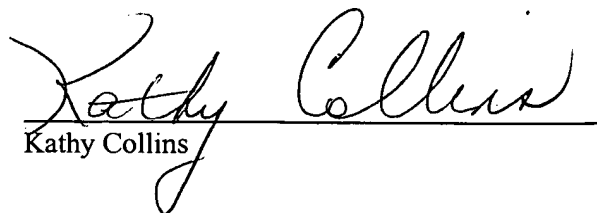
Attorney Docket No. : CULLN23.001APC  
Applicant(s) : Manners, et al.  
For : ANTIMICROBIAL PROTEINS  
Attorney : Daniel E. Altman  
"Express Mail"  
Mailing Label No. : EL 193422001  
Date of Deposit : June 21, 1999

EL193422001US

I hereby certify that the accompanying

Transmittal in Duplicate; Demand; Copy of International Application as published;  
Preliminary Amendment in 9 pages; Declaration and Power of Attorney in 5 pages;  
Assignment; Recordation Form Cover Sheet; IPER; Check for Filing Fee in amount of  
\$1426; Check for Assignment in amount of \$40; Sequence Listing; diskette; Return  
Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee"  
service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

  
Kathy Collins

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